

| General information about company | | |
|---|--------------------------|--|
| Scrip code | 544136 | |
| NSE Symbol | RKSWAMY | |
| MSEI Symbol | NOTLISTED | |
| ISIN | INE0NQ801033 | |
| Name of the entity | R K SWAMY LIMITED | |
| Date of start of financial year | 01-04-2025 | |
| Date of end of financial year | 31-03-2026 | |
| Reporting Quarter Type | Quarterly | |
| Date of Quarter Ending | 30-06-2025 | |
| Type of company | Equity | |
| Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity? | Yes | |
| Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity? | Yes | |
| Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity? | No | During the quarter ended June 30, 2025, there are no acquisition of shares or voting rights in Unlisted Companies. |
| Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity? | No | During the quarter ended June 30, 2025, there is no fine or penalty levied on the Company. |
| Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity? | No | During the quarter ended June 30, 2025, there is no updates to Ongoing Tax Litigations or Disputes. |
| Risk management committee | Not Applicable | |
| Market Capitalisation as per immediate previous Financial Year | Top 2000 listed entities | |
| Is SCORE ID Available ? | Yes | |
| SCORE Registration ID | COMR00740 | |
| Reason For No SCORE ID | | |

| | |
|--|----------|
| Type of Submission | Original |
| Remarks (website dissemination) | |
| Remarks for Exchange (not for Website Dissemination) | |

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

| Sr | Title (Mr / Ms) | Name of the Director | PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of Birth |
|----|-----------------------|--|------------|----------|---|------------------------------------|----------------------------|------------------|
| 1 | Mr | Srinivasan K Swamy | APDPS0053F | 00505093 | Executive Director | Chairperson related to Promoter | | 15-07- 1954 |
| 2 | Mr | Narasimhan Krishnaswamy | ABSPN3560F | 00219883 | Executive Director | Not Applicable | CEO-MD | 28-05- 1957 |
| 3 | Mrs | Sangeetha Narasimhan | AAPPS6538L | 07050848 | Executive Director | Not Applicable | CEO | 19-05- 1962 |
| 4 | Mr | Siddharth S Swamy | BTHPS2054K | 09400286 | Non-Executive - Non Independent Director | Not Applicable | | 15-11- 1994 |
| 5 | Mrs | Nalini Padmanabhan | ACAPN3833C | 01565909 | Non-Executive - Independent Director | Not Applicable | | 20-07- 1964 |
| 6 | Mr | Rajiv Vastupal Mehta | ABGPM6306E | 00647906 | Non-Executive - Independent Director | Not Applicable | | 28-10- 1957 |
| 7 | Mr | Thiruvallur Thattai Srinivasaraghavan | AAGPS0089M | 00018247 | Non-Executive - Independent Director | Not Applicable | | 19-01- 1955 |
| 8 | Mr | Sunil Sethy | ABTPS5430L | 00244104 | Non-Executive - Independent Director | Not Applicable | | 27-03- 1951 |

| I. Composition of Board of Directors | | | | | |
|--|---------------------------------------|--------------------------------|------------------------------|-----------------------------|----------------|
| Disqualification of Directors under section 164 of the Companies Act, 2013 | | | | | |
| Sr | Whether the director is disqualified? | Start Date of disqualification | End Date of disqualification | Details of disqualification | Current status |
| 1 | No | | | | Active |
| 2 | No | | | | Active |
| 3 | No | | | | Active |
| 4 | No | | | | Active |
| 5 | No | | | | Active |
| 6 | No | | | | Active |
| 7 | No | | | | Active |
| 8 | No | | | | Active |

I. Composition of Board of Directors

| Sr | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)] | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Reason for Cessation | Notes for not providing PAN | Notes for not providing DIN |
|----|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|---|--|----------------------|-----------------------------|-----------------------------|
| 1 | NA | | 29-04-1982 | | | | 1 | 0 | 2 | 0 | | | |
| 2 | NA | | 30-06-2001 | | | | 1 | 0 | 0 | 0 | | | |
| 3 | NA | | 29-09-2015 | | | | 1 | 0 | 1 | 0 | | | |
| 4 | NA | | 12-02-2025 | | | | 1 | 0 | 0 | 0 | | | |
| 5 | NA | | 03-07-2023 | 03-07-2023 | | 23.27 | 1 | 1 | 2 | 2 | | | |
| 6 | NA | | 03-07-2023 | 03-07-2023 | | 23.27 | 1 | 1 | 0 | 0 | | | |
| 7 | NA | | 03-07-2023 | 03-07-2023 | | 23.27 | 3 | 2 | 3 | 0 | | | |
| 8 | NA | | 03-07-2023 | 03-07-2023 | | 23.27 | 1 | 1 | 1 | 1 | | | |

| Audit Committee Details | | | | | | | |
|---|------------|---------------------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Audit Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 01565909 | Nalini Padmanabhan | Non-Executive - Independent Director | Chairperson | 21-07-2023 | | |
| 2 | 00018247 | Thiruvallur Thattai Srinivasaraghavan | Non-Executive - Independent Director | Member | 21-07-2023 | | |
| 3 | 00505093 | Srinivasan K Swamy | Executive Director | Member | 21-07-2023 | | |

| Nomination and remuneration committee | | | | | | | |
|---|------------|---------------------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Nomination and remuneration committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00647906 | Rajiv Vastupal Mehta | Non-Executive - Independent Director | Chairperson | 21-07-2023 | | |
| 2 | 00018247 | Thiruvallur Thattai Srinivasaraghavan | Non-Executive - Independent Director | Member | 21-07-2023 | | |
| 3 | 00244104 | Sunil Sethy | Non-Executive - Independent Director | Member | 21-07-2023 | | |

| Stakeholders Relationship Committee | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00244104 | Sunil Sethy | Non-Executive - Independent Director | Chairperson | 21-07-2023 | | |
| 2 | 00505093 | Srinivasan K Swamy | Executive Director | Member | 21-07-2023 | | |
| 3 | 07050848 | Sangeetha Narasimhan | Executive Director | Member | 21-07-2023 | | |

| Risk Management Committee | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|------------------------|
| Whether the Risk Management Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00244104 | Sunil Sethy | Non-Executive - Independent Director | Chairperson | 21-07-2023 | | |
| 2 | 07050848 | Sangeetha Narasimhan | Executive Director | Member | 21-07-2023 | | |
| 3 | 99999999 | Rajeev Newar | Group CFO | Member | 21-07-2023 | | Textual Information(1) |

| Sr Text Block | |
|------------------------|---|
| Textual Information(1) | Mr. Rajeev Newar is non Board Committee member therefore the dummy DIN number is mentioned. |

| Corporate Social Responsibility Committee | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Corporate Social Responsibility Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00505093 | Srinivasan K Swamy | Executive Director | Chairperson | 16-05-2022 | | |
| 2 | 00219883 | Narasimhan Krishnaswamy | Executive Director | Member | 16-05-2022 | | |
| 3 | 01565909 | Nalini Padmanabhan | Non-Executive - Independent Director | Member | 21-07-2023 | | |

Other Committee

| Sr | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks |
|----|------------|---------------------------|-------------------------|-------------------------|-------------------------|---------|
|----|------------|---------------------------|-------------------------|-------------------------|-------------------------|---------|

Annexure 1

Annexure 1

III. Meeting of Board of Directors

| Disclosure of notes on meeting of board of directors explanatory | | | | | | | | |
|--|---|--|---|------------------------------|--|---|---|---|
| Sr. No. | Date(s) of meeting (if any) in the previous quarter | Date(s) of meeting (if any) in the current quarter | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Total Number of Directors as on date of the meeting | Number of Directors present* (All directors including Independent Director) | No. of Independent Directors attending the meeting* |
| 1 | 12-02-2025 | | | | Yes | 8 | 8 | 4 |
| 2 | | 21-05-2025 | 97 | | Yes | 8 | 8 | 4 |

Annexure 1

IV. Meeting of Committees

| Disclosure of notes on meeting of committees explanatory | | | | | | | | | | |
|--|---|--|---|-------------------------|-------------------------------|--|--|--|---|--|
| Sr | Name of Committee | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Total Number of Directors in the Committee as on date of the meeting | Number of Directors Present (All Directors including Independent Director) | No. of Independent Directors attending the meeting* | No. of members attending the meeting (other than Board of Directors) |
| 1 | Audit Committee | 11-02-2025 | | | | Yes | 3 | 3 | 2 | 0 |
| 2 | Audit Committee | 20-05-2025 | 97 | | | Yes | 3 | 3 | 2 | 0 |
| 3 | Nomination and remuneration committee | 20-05-2025 | | | | Yes | 3 | 3 | 3 | 0 |
| 4 | Stakeholders Relationship Committee | 20-05-2025 | | | | Yes | 3 | 3 | 1 | 0 |
| 5 | Corporate Social Responsibility Committee | 21-05-2025 | 0 | | | Yes | 3 | 3 | 1 | 0 |

Annexure 1

V. Affirmations

| Sr | Subject | Compliance status (Yes/No) |
|----|---|-------------------------------|
| 1 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | Yes |
| 2 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee | Yes |
| 3 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee | Yes |
| 4 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee | Yes |
| 5 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities) | Yes |
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | Yes |

| Annexure 1 | | |
|------------|-------------------|--|
| Sr | Subject | Compliance status |
| 1 | Name of signatory | Aparna Bhat |
| 2 | Designation | Company Secretary and Compliance Officer |

| Details of Cyber security incidence | | |
|--|-------------------|----------------------------|
| Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter | | No |
| Number of cyber security incidence or breaches or loss of data event occurred during the quarter | | |
| Sr. | Date of the event | Brief details of the event |

| Signatory Details | |
|-----------------------|--|
| Name of signatory | Aparna Bhat |
| Designation of person | Company Secretary and Compliance Officer |
| Place | Mumbai |
| Date | 23-07-2025 |

| Investor Grievance Details | |
|---|---|
| No. of investor complaints pending at the beginning of Quarter | 0 |
| No. of investor complaints received during the Quarter | 0 |
| No. of investor complaints disposed off during the Quarter | 0 |
| No. of investor complaints those remaining unresolved at the end of the Quarter | 0 |

