

General information about company

Scrip code	544136	
NSE Symbol	RKSWAMY	
MSEI Symbol	NOTLISTED	
ISIN	INE0NQ801033	
Name of the entity	R K SWAMY Limited	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Half Yearly	
Date of Quarter Ending	30-09-2025	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No	During the quarter ended September 30, 2025, there are no acquisition of shares or voting rights in Unlisted Companies.
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	No	During the quarter ended September 30, 2025, there is no fine or penalty levied on the Company.
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	No	During the quarter ended September 30, 2025, there is no updates to Ongoing Tax Litigations or Disputes.
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	No	During the quarter ended September 30, 2025, there are no Disclosure of Loans / Guarantees / Comfort Letters / Securities etc.
Risk management committee	Not Applicable	
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities	

Is SCORE ID Available ?	Yes
SCORE Registration ID	COMR00740
Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	
Remarks for Exchange (not for Website Dissemination)	

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory							Textual Information(1)	
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							Yes	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Srinivasan K Swamy	APDPS0053F	00505093	Executive Director	Chairperson related to Promoter		15-07- 1954
2	Mr	Narasimhan Krishnaswamy	ABSPN3560F	00219883	Executive Director	Not Applicable	CEO-MD	28-05- 1957
3	Mrs	Sangeetha Narasimhan	AAPPS6538L	07050848	Executive Director	Not Applicable	CEO	19-05- 1962
4	Mr	Siddharth S Swamy	BTHPS2054K	09400286	Non-Executive - Non Independent Director	Not Applicable		15-11- 1994
5	Mrs	Nalini Padmanabhan	ACAPN3833C	01565909	Non-Executive - Independent Director	Not Applicable		20-07- 1964
6	Mr	Rajiv Vastupal Mehta	ABGPM6306E	00647906	Non-Executive - Independent Director	Not Applicable		28-10- 1957
7	Mr	Thiruvallur Thattai Srinivasaraghavan	AAGPS0089M	00018247	Non-Executive - Independent Director	Not Applicable		19-01- 1955
8	Mr	Sunil Sethy	ABTPS5430L	00244104	Non-Executive - Independent Director	Not Applicable		27-03- 1951

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	NA		29-04-1982				1	0	2	0			
2	NA		30-06-2001				1	0	0	0			
3	NA		29-09-2015				1	0	1	0			
4	NA		12-02-2025				1	0	0	0			
5	NA		03-07-2023	03-07-2023		26.28	2	2	2	2			
6	NA		03-07-2023	03-07-2023		26.28	1	1	0	0			
7	NA		03-07-2023	03-07-2023		26.28	4	2	2	0			
8	NA		03-07-2023	03-07-2023		26.28	1	1	1	1			

Text Block	
Textual Information(1)	<p>Mr. Thiruvallur Thattai Srinivasaraghavan is on the Board of Sundaram Home Finance Limited, which, pursuant to the SEBI (Listing Obligations and Disclosure Requirements) Amendment dated March 28, 2025, has been classified as a High Value Debt Listed Entity and accordingly included under Regulation 17A. Further, Mr. Srinivasaraghavan has ceased to be a member of the Audit Committee of Royal Sundaram General Insurance Co. Limited, resulting in a reduction in his committee memberships. Additionally, Mrs. Nalini Padmanabhan has been appointed as a Non-Executive Independent Director on the Board of Canara Bank and is accordingly included under Regulation 17A.</p>

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Textual Information(1)

Annexure 1 Text Block	
Textual Information(1)	The Company has voluntarily formed the Risk Management Committee.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01565909	Nalini Padmanabhan	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	00505093	Srinivasan K Swamy	Executive Director	Member	21-07-2023		
3	00018247	Thiruvallur Thattai Srinivasaraghavan	Non-Executive - Independent Director	Member	21-07-2023		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00647906	Rajiv Vastupal Mehta	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	00244104	Sunil Sethy	Non-Executive - Independent Director	Member	21-07-2023		
3	00018247	Thiruvallur Thattai Srinivasaraghavan	Non-Executive - Independent Director	Member	21-07-2023		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00244104	Sunil Sethy	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	00505093	Srinivasan K Swamy	Executive Director	Member	21-07-2023		
3	07050848	Sangeetha Narasimhan	Executive Director	Member	21-07-2023		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00244104	Sunil Sethy	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	07050848	Sangeetha Narasimhan	Executive Director	Member	21-07-2023		
3	99999999	Rajeev Newar	Group CFO	Member	21-07-2023		Textual Information(1)

Sr Text Block	
Textual Information(1)	Mr. Rajeev Newar is a non Board Committee member, hence a dummy DIN number is mentioned.

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00505093	Srinivasan K Swamy	Executive Director	Chairperson	16-05-2022		
2	00219883	Narasimhan Krishnaswamy	Executive Director	Member	16-05-2022		
3	01565909	Nalini Padmanabhan	Non-Executive - Independent Director	Member	21-07-2023		

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
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Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sr. No.	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	21-05-2025				Yes	8	8	4
2		12-08-2025	82		Yes	8	8	4

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							Textual Information(1)			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Corporate Social Responsibility Committee	21-05-2025				Yes	3	3	1	0
2	Nomination and remuneration committee	20-05-2025				Yes	3	3	3	0
3	Stakeholders Relationship Committee	20-05-2025				Yes	3	3	1	0
4	Audit Committee	20-05-2025				Yes	3	3	2	0
5	Audit Committee	02-06-2025	12			Yes	3	3	2	0
6	Audit Committee	11-08-2025	69			Yes	3	3	2	0

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Risk Management Committee	05-08-2025				Yes	3	2	1	1

Text Block	
Textual Information(1)	In the integrated filing for the June quarter, the Audit Committee meeting held on 02.06.2025 was inadvertently missed to be included in the list of Committee meetings held during the quarter ended June 30, 2025. Hence, the same is included in the current quarter filing.

Annexure 1

V. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Aparna Bhat
2	Designation	Company Secretary and Compliance Officer

Text Block	
Textual Information(1)	The Company has voluntarily formed the Risk Management Committee.

Affirmations on Compliance Requirements for AGM (applicable only for the first half-year filing i.e., 2nd quarter)

I. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, BRSR & BRSR core, if applicable, displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Compliance with the conditions laid down for Secretarial Auditor or the person signing the Secretarial Compliance Report	24A(1A), 24A(1B), 24A(1C)	Yes	
7	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
8	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				

Annexure III

1	Name of signatory	Aparna Bhat
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Aparna Bhat
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	28-10-2025

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

