

General information about company

Scrip code	544136	
NSE Symbol	RKSWAMY	
MSEI Symbol	NOTLISTED	
ISIN	INE0NQ801033	
Name of the entity	R K SWAMY Limited	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Quarterly	
Date of Quarter Ending	31-12-2025	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No	During the quarter ended December 31, 2025, there are no acquisition of shares or voting rights in Unlisted Companies.
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	No	During the quarter ended December 31, 2025, there is no fine or penalty levied on the Company.
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	No	During the quarter ended December 31, 2025, there is no updates to Ongoing Tax Litigations or Disputes.
Risk management committee	Not Applicable	
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities	
Is SCORE ID Available ?	Yes	
SCORE Registration ID	COMR00740	

Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	
Remarks for Exchange (not for Website Dissemination)	

Annexure I**Annexure I to be submitted by listed entity on quarterly basis****I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							Yes	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Srinivasan K Swamy	APDPS0053F	00505093	Executive Director	Chairperson related to Promoter		15-07-1954
2	Mr	Narasimhan Krishnaswamy	ABSPN3560F	00219883	Executive Director	Not Applicable	CEO-MD	28-05-1957
3	Mrs	Sangeetha Narasimhan	AAPPS6538L	07050848	Executive Director	Not Applicable	CEO	19-05-1962
4	Mr	Siddharth S Swamy	BTHPS2054K	09400286	Non-Executive - Non Independent Director	Not Applicable		15-11-1994
5	Mrs	Nalini Padmanabhan	ACAPN3833C	01565909	Non-Executive - Independent Director	Not Applicable		20-07-1964
6	Mr	Rajiv Vastupal Mehta	ABGPM6306E	00647906	Non-Executive - Independent Director	Not Applicable		28-10-1957
7	Mr	Thiruvallur Thattai Srinivasaraghavan	AAGPS0089M	00018247	Non-Executive - Independent Director	Not Applicable		19-01-1955
8	Mr	Sunil Sethy	ABTPS5430L	00244104	Non-Executive - Independent Director	Not Applicable		27-03-1951

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active

I. Composition of Board of Directors													
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	NA		29-04-1982			1	0	2	0				
2	NA		30-06-2001			1	0	0	0				
3	NA		29-09-2015			1	0	1	0				
4	NA		12-02-2025			1	0	0	0				
5	NA		03-07-2023	03-07-2023		29.29	2	2	2	2			
6	NA		03-07-2023	03-07-2023		29.29	1	1	0	0			
7	NA		03-07-2023	03-07-2023		29.29	4	2	2	0			
8	NA		03-07-2023	03-07-2023		29.29	1	1	1	1			

Annexure 1**II. Composition of Committees**

Disclosure of notes on composition of committees explanatory	Textual Information(1)
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Annexure 1 Text Block

Textual Information(1)

The Company has voluntarily formed the Risk Management Committee.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01565909	Nalini Padmanabhan	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	00505093	Srinivasan K Swamy	Executive Director	Member	21-07-2023		
3	00018247	Thiruvallur Thattai Srinivasaraghavan	Non-Executive - Independent Director	Member	21-07-2023		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00647906	Rajiv Vastupal Mehta	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	00244104	Sunil Sethy	Non-Executive - Independent Director	Member	21-07-2023		
3	00018247	Thiruvallur Thattai Srinivasaraghavan	Non-Executive - Independent Director	Member	21-07-2023		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00244104	Sunil Sethy	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	07050848	Sangeetha Narasimhan	Executive Director	Member	21-07-2023		
3	00505093	Srinivasan K Swamy	Executive Director	Member	21-07-2023		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00244104	Sunil Sethy	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	07050848	Sangeetha Narasimhan	Executive Director	Member	21-07-2023		
3	99999999	Rajeev Newar	Group CFO	Member	21-07-2023		Textual Information(1)

Sr Text Block

Textual Information(1)

Mr. Rajeev Newar is a non Board Committee member, hence a dummy DIN number is mentioned.

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00505093	Srinivasan K Swamy	Executive Director	Chairperson	16-05-2022		
2	00219883	Narasimhan Krishnaswamy	Executive Director	Member	16-05-2022		
3	01565909	Nalini Padmanabhan	Non-Executive - Independent Director	Member	21-07-2023		

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
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Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory								
Sr. No.	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	12-08-2025				Yes	8	8	4
2		12-11-2025	91		Yes	8	8	4

Annexure 1**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	11-08-2025				Yes	3	3	2	0
2	Audit Committee	11-11-2025	91			Yes	3	3	2	0
3	Risk Management Committee	05-08-2025			The Company has constituted the Risk Management Committee voluntarily	Yes	3	2	1	1

Annexure 1**V. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Aparna Bhat
2	Designation	Company Secretary and Compliance Officer

Text Block	
Textual Information(1)	The Company has voluntarily formed the Risk Management Committee.

Details of Cyber security incidence		
Sr.		Date of the event
		Brief details of the event
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		

Signatory Details	
Name of signatory	Aparna Bhat
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	27-01-2026

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

