

**Date:** April 18, 2024

**BSE Limited**

Department of Corporate Services  
Pheroze Jeejeebhoy Towers,  
Dalal Street, Mumbai-400001

**National Stock Exchange of India Limited**

Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block  
Bandra- Kurla Complex, Mumbai-400051

**SCRIP Code- 544136**

**SYMBOL-RKSWAMY**

**ISIN: INE0NQ801033**

Dear Sir/Madam,

**Sub: Reconciliation of Share Capital Audit for the quarter ended March 31, 2024.**

Pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018, please find attached herewith the Certificate issued by the S. Dhanapal & Associates LLP, Practicing Company Secretary, for Reconciliation of Share Capital Audit of the Company for the quarter ended March 31, 2024.

We request you to take the same on record.

Thanking you

**For R K Swamy Limited**

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**Aparna Bhat**

**Compliance Officer**

**Membership No.:** A19995

**Address:** Esplanade House, 29, Hazarimal Somani Marg,  
Fort, Mumbai 400 001

**Place:** Mumbai

Suite No. 103, First Floor, Kaveri Complex  
No. 96/104, Nungambakkam High Road  
(Next to NABARD & ICICI Bank)  
Nungambakkam, Chennai - 600 034  
Phone No. 044 - 4553 0256  
4553 0257 / 4265 2127  
E-mail: csdhanapal@gmail.com  
secretarial@csdhanapal.com  
website: www.csdhanapal.com

## S Dhanapal & Associates LLP

### Practising Company Secretaries

LLPIN ACB - 0368  
(Regd. with Limited Liability Under the LLP Act, 2008)

**Designated Partners :**

S. Dhanapal, B.Com., B.A.B.L., F.C.S  
N. Ramanathan, B.Com., F.C.S  
Smita Chirimar, M.Com., F.C.S, DCG(ICSI)

### R K SWAMY LIMITED

### RECONCILIATION OF SHARE CAPITAL AUDIT

Under Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018

1.	For Quarter Ended	31/03/2024
2.	ISIN	INE0NQ801033
3.	Face Value	Rs. 5/- per share
4.	Name of the Company	R K SWAMY LIMITED
5.	Registered Office Address	No.19, Wheatcrofts Road, Nungambakkam, Chennai- 600034
6.	Correspondence Address	Esplanade House, 29, Hazarimal Somani Marg, Fort, Mumbai 400 001
7.	Telephone & Fax Nos.	Ph: +91 22 4057 6499 Fax: NA
8.	E - mail address	secretarial@rkswamy.com
9.	Names of the Stock Exchanges where the company's securities are listed	National Stock Exchange of India Limited BSE Limited



## Continuation Sheet

# S Dhanapal & Associates LLP

## Practising Company Secretaries

		Number of shares	% of Total Issued Capital				
10.	Issued Capital						
	a) Equity Shares	5,04,77,241					
	b) Preference Shares	-					
	<b>Total Shares</b>	<b>5,04,77,241</b>	<b>100%</b>				
11.	<b>Listed Capital (Exchange-wise) (as per company records)</b>	<b>5,04,77,241</b>	<b>100%</b>				
12.	Held in dematerialised form in CDSL	57,57,314	11.4058%				
13.	Held in dematerialised form in NSDL	4,47,19,927	88.5942%				
14.	Physical ( Equity Shares )	-	-				
15.	<b>Total No. of shares (12+13+14)</b>	<b>5,04,77,241</b>	<b>100%</b>				
16.	Reasons for difference if any, between (10&11), (11&15) : No difference						
17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below:						
	Particulars	No. Of Equity shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-principle approval pending for SE (Specify Names)
	Initial Public Offer	Total no. of shares is 5,04,77,241 out of which 60,20,101 equity shares were issued freshly as part of IPO	Yes	National Stock Exchange of India Limited and BSE Limited with effect from 12.3.2024	Yes	Yes	NA
18.	Register of Members is updated (Yes / No) If not, updated up to which date				<b>YES (Maintained in Electronic Form)</b>		
19.	Reference of previous quarter with regard to excess dematerialised shares, if any.				NA		
20.	Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?				NA		
21.	Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay						
	Total No. of demat requests	No. of requests	No. of shares	Reasons for delay			
	Confirmed after 21 Days	NA	NA	NA			
	Pending for more than 21 days	NA	NA	NA			





## Continuation Sheet

# S Dhanapal & Associates LLP

## Practising Company Secretaries

22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	<b>Ms. Aparna Bhat</b> Membership No.: <b>A19995</b> <b>Compliance Officer</b> Tel : +91 22 4057 6499 Mail ID : <a href="mailto:secretarial@rkswamy.com">secretarial@rkswamy.com</a>
23.	Name, Address, Tel. & Fax No., Registered number of the Practising Company Secretary	<b>Ms. SMITA CHIRIMAR</b> <b>Designated Partner,</b> <b>M/s S Dhanapal &amp; Associates LLP</b> Practicing Company Secretaries Suite No.103, First Floor, Kaveri Complex, 96/104, Nungambakkam High Road, Nungambakkam, Chennai - 600034 Phone: 044-45530256/57 <b>C. P. No. 9357</b>
24.	Appointment of common agency for share registry work; if yes (name & address)	<b>KFin Technologies Limited,</b> Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Rangareddi, Hyderabad - 500032, Telangana, India Tel No: 91-40-6716 2222. Fax: 91-40-7961 1000.
25.	Any other details that the auditor may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.)	M/s R K Swamy Limited was listed on the National Stock Exchange of India Limited (NSE) and BSE Limited (BSE) for public trading w.e.f <b>12.03.2024</b> . This report pertains to the period 12.03.2024 - 31.03.2024.

**For S DHANAPAL & ASSOCIATES LLP  
(PRACTISING COMPANY SECRETARIES)**

Place: Chennai  
Date : 06.04.2024



*Smita Chirimar*

**SMITA CHIRIMAR  
(DESIGNATED PARTNER)**

M.No.F.8137

C.P.NO.9357

UDIN: F008137F000042941

**SD**